



MMPF-006: Management of Financial Services

1. Define financial services. Explain the characteristics and significance of the financial services sector in India.
2. What are Merchant Banking services? Discuss the role and responsibilities of merchant bankers in the capital market.
3. Discuss the structure and types of Mutual Funds in India. How do mutual funds contribute to economic development?
4. What is Leasing? Differentiate between financial lease and operating lease with examples.
5. Explain the concept of Credit Rating. How does it benefit investors and issuers? Name major credit rating agencies in India.
6. Discuss the regulatory framework of financial services in India. What role does SEBI play in regulating financial services?
7. What are Venture Capital Funds? Explain their features and stages of financing. How are they different from Private Equity?
8. Write a detailed note on the functions and importance of NBFCs in the Indian financial system.
9. What is Factoring? Differentiate between factoring and bill discounting. What are its advantages to businesses?
10. Explain the concept and evolution of Housing Finance in India. Name major housing finance institutions and their roles.

11. Discuss the different types of Insurance products available in India. Explain the role of IRDA in regulating the insurance sector.

12. What is Consumer Finance? Explain its types and the risks associated with it.

13. Define Hire Purchase. How does it differ from leasing? Discuss the accounting and taxation aspects involved.

14. Write short notes on (any three):

(a) SEBI guidelines on IPO

(b) Micro Finance

(c) Role of UTI and LIC in Financial Markets

(d) Credit Rating symbols and their interpretation

15. Case-Based Question

XYZ Finance Ltd. is an NBFC engaged in personal loans and auto loans. The company has been facing rising NPAs due to poor credit assessment and weak internal controls. Recently, the company is planning to enter into microfinance and leasing services.

Questions:

(i) What risks should XYZ Ltd. evaluate before expanding?

(ii) Suggest strategies for effective risk management and credit assessment.

(iii) What regulatory compliance must XYZ follow for its existing and proposed services?